

Rec'd PCT/PTO 13 FEB 2002

FORM PTO-1390  
(REV 10-94)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER  
10873.877USWO

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)  
Unknown 10/049583

PRIORITY DATE CLAIMED  
June 16, 2000

INTERNATIONAL APPLICATION NO.  
PCT/JP01/04918

INTERNATIONAL FILING DATE  
June 11, 2001

TITLE OF INVENTION  
ACTIVE MATRIX TYPE DISPLAY APPARATUS, METHOD FOR DRIVING THE SAME, AND DISPLAY ELEMENT

APPLICANT(S) FOR DO/EO/US  
TANAKA et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Application Data Sheet; International Search Report; PCT/IB/301; PCT/IB/304; PCT/IB/308; PCT Request and translation

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

Unknown

10/049583

INTERNATIONAL APPLICATION NO.

PCT/JP01/04918

AT PCT DOCKET NUMBER

10875.877USWO

CALCULATIONS PTO USE ONLY

17. [X] The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):**

Search Report has been prepared by the EPO or JPO.....\$890.00

International preliminary examination fee paid to USPTO  
(37 CFR 1.492(a)(1)).....\$710.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482)  
but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$740.00

Neither international preliminary examination fee (37 CFR 1.482) nor  
international search fee (37 CFR 1.445(a)(3)) paid to USPTO ..... \$1040.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(2)-(4) .....\$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =** \$890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	79 -20 =	59	X \$18.00	\$1062.00
Independent claims	25 -3 =	22	X \$84.00	\$1848.00
			+ \$260.00	\$0

MULTIPLE DEPENDENT CLAIM(S) (if applicable)

**TOTAL OF ABOVE CALCULATIONS =** \$3800.00

Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed  
pursuant to 37 CFR 1.27

**SUBTOTAL =** \$3800.00

Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

**TOTAL NATIONAL FEE =** \$3800.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

**TOTAL FEES ENCLOSED =** \$3840.00

Amount to be:  
refunded \$0  
charged \$0

a. [X] Check(s) in the amount of \$3800.00 & \$40.00 to cover the above fees is enclosed.

b. [ ] Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 13-2725.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Douglas P. Mueller

MERCHANT & GOULD

P.O. Box 2903

Minneapolis, MN 55402-0903

SIGNATURE:

NAME: Douglas P. Mueller

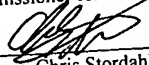
REGISTRATION NUMBER: 30,300

10/049583

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
 RECEIVED PCT/PTO 13 FEB 2002

Applicant: TANAKA et al.  
 Docket: 10873.877USWO  
 Title: ACTIVE MATRIX TYPE DISPLAY APPARATUS, METHOD FOR DRIVING THE SAME,  
 AND DISPLAY ELEMENT

CERTIFICATE UNDER 37 CFR 1.10  
 'Express Mail' mailing label number: EV 036304940 US  
 Date of Deposit: February 13, 2002  
 I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office  
 To Addressee' service under 37 CFR 1.10 and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

By:   
 Name: Chris Stordahl

BOX PATENT APPLICATION  
 Commissioner for Patents  
 Washington, D.C. 20231

Sir:

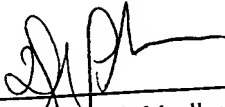
We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- ☒ National Stage PCT Patent Application: Spec. 74 pgs; 69 claims; Abstract 1 pg.  
 The fee has been calculated as shown below in the 'Claims as Filed' table.
- ☒ 33 sheets of formal drawings
- ☒ A signed Combined Declaration and Power of Attorney
- ☒ Assignment of the invention to Matsushita Electric Industrial Co., Ltd., Recordation Form Cover Sheet
- ☒ A check in the amount of \$3800.00 to cover the Filing Fee
- ☒ A check for \$40.00 to cover the Assignment Recording Fee.
- ☒ Other: PTO-1390; Preliminary Amendment; Application Data Sheet; PTC Request and translation; PCT application as filed; International Search Report; PCT/IB/301; PCT/IB/304; PCT/IB/308
- ☒ Return postcard

CLAIMS AS FILED							
Number of Claims Filed	In Excess of:		Number Extra		Rate		Fee
Basic Filing Fee:							\$890.00
Total Claims							
79	- 20	=	59	x	18.00	=	\$1062.00
Independent Claims							
25	- 3	=	22	x	84.00	=	\$1848.00
MULTIPLE DEPENDENT CLAIM FEE							\$0.00
TOTAL FILING FEE							\$3800.00

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
 P.O. Box 2903, Minneapolis, MN 55402-0903  
 (612) 332-5300

By:   
 Name: Douglas P. Mueller  
 Reg. No.: 30,300  
 Initials: DPM/tvm



(PTO TRANSMITTAL - NEW FILING)